

# AUDIT & GOVERNANCE COMMITTEE

APPENDIX 1

23<sup>rd</sup> January 2012

---

## DETAILED PROVISIONAL AUDIT PLAN FOR 2012/13

### SUMMARY OF DETAILED PROVISIONAL PLAN 2012/13

<b>Planned Days for 2012/13</b>	<b>2012/13</b>	<b>2011/12</b>
Core Financial Systems	70	69
Revenues and Benefits	44	47
Corporate Work	77	43
Other Systems Audits	192	214
Completion of prior year's work	10	12
Advisory / Consultancy inc NFI, Fraud & investigations	38	41
Sub Total	431	426
Audit management meetings	20	23
Corporate meetings / reading	9	9
Annual plans and reports	12	12
Audit Committee support	12	9
	53	53
TOTAL Audit Days (70% productivity equals 484 days)	<b>484</b>	<b>479</b>

# AUDIT & GOVERNANCE COMMITTEE

APPENDIX 1

23<sup>rd</sup> January 2012

## DETAILED PROVISIONAL PLAN FOR 2012/2013 AUDIT PROGRAMME

<b>Audit Area</b>	<b>Planned days 2012/13</b>
<b>A – CHARGEABLE AND PRODUCTIVE</b>	
<b>Core Financial Systems</b>	
Council Tax	15
Benefits	15
NNDR	14
Payroll (inc allowances)	14
Creditors	12
Cash Collection	7
Main Ledger inc Budgetary Control	12
Debtors	12
Bank Reconciliation	6
Treasury Management	7
<b>TOTAL</b>	<b>114</b>
<b>Corporate</b>	
Procurement / Contract Compliance	11
IT Services	14
Corporate Governance incl Shared Service arrangements	19
Risk Management	15
Transition Arrangement (Critical Friend)	18
<b>TOTAL</b>	<b>77</b>
<b>Other Systems Audits</b>	
Crematorium and Cemeteries	11
Landscape & Grounds maintenance	10
Workshop & Fleet	10
One Stop Shop / Reception Services	10
Rent Arrears/Payment & Collection	12
Waste inc Recycling & Refuse	11
Stores, Depot and Small Plant	15
Street Scene	15

# AUDIT & GOVERNANCE COMMITTEE

APPENDIX 1

23<sup>rd</sup> January 2012

Energy Monitoring	8
Arrow Vale Sports Centre	8
Abbey Stadium	15
Markets	8
Grants to voluntary bodies	10
Housing / Homelessness incl accommodation	11
Garages	7
Threadneedle House	5
Bus Service Operators Grant	4
Previous Year Work completion	10
Statement of Internal Control	5
Follow Up on recommendations	17
Fraud, Special Investigations incl NFI	20
Advisory and Consultancy / Contingency	18
<b>TOTAL</b>	<b>240</b>
Audit Management Meetings	20
Corporate Meetings / Reading	9
Annual Plans and Reports	12
Audit Committee support	12
<b>TOTAL</b>	<b>53</b>
<b>TOTAL Audit Days</b>	<b>484</b>